# Table of contents

1. Introduction............................................................................................................................................. 2
2. Purpose...................................................................................................................................................... 2
3. Scope......................................................................................................................................................... 2
   3.1. The scope of this Procedure - People................................................................................................. 2
   3.2. The scope of this Procedure – Conduct............................................................................................ 3
   3.3. Out of Scope – Grievances.................................................................................................................. 3
   3.4. Out of Scope - Malicious Allegations................................................................................................. 3
4. Who, why and when to make a Disclosure?.............................................................................................. 3
   4.1. Who can make a Disclosure............................................................................................................... 4
   4.2. Why make a Disclosure..................................................................................................................... 4
   4.3. When to make a Disclosure............................................................................................................... 4
5. Who should I make my Disclosure to?...................................................................................................... 5
   5.1. Within RBG....................................................................................................................................... 5
   5.2. Within the Surbana Jurong Group...................................................................................................... 5
   5.3. External Agencies ............................................................................................................................ 5
      5.3.1. Emergency Disclosures ............................................................................................................... 5
   5.3.2. Public Interest Disclosure ............................................................................................................ 5
6. How do I make my Disclosure?................................................................................................................ 6
   6.1. To RBG............................................................................................................................................. 6
   6.2. To the Surbana Jurong Group........................................................................................................... 6
   6.3. To External Agencies......................................................................................................................... 6
7. What happens when you report Disclosable Conduct to the WBC?............................................................ 6
   7.1. Formation of Investigation Team ....................................................................................................... 6
   7.2. Preliminary Assessment...................................................................................................................... 7
   7.3. Outcome of Investigation................................................................................................................... 7
   7.4. Reporting Requirements................................................................................................................... 7
8. How you are protected?............................................................................................................................... 8
   8.1. Anonymity......................................................................................................................................... 8
   8.2. Confidentiality.................................................................................................................................. 8
   8.3. Conflicts of Interest.......................................................................................................................... 9
   8.4. Protections afforded by RBG........................................................................................................... 9
   8.5. Protection under relevant Regional Whistleblower Laws................................................................. 9
9. Failure to comply with this Procedure...................................................................................................... 9
10. Implementation of Procedure.................................................................................................................. 9

Appendix A – Glossary of Terms ................................................................................................................ 10

Addendum - Regional Requirements.......................................................................................................... 13

Part A - Protection under the Australian Whistleblower Law – AUSTRALIA ONLY ................................. 14
   1. Scope of Addendum Part A .................................................................................................................. 14
   2. Protection under the Australian Whistleblower Law ......................................................................... 14

This document is only controlled when accessed via the company intranet
1. **Introduction**

RBG is committed to adhering to its statutory obligations, rules and values. Where matters related to alleged Disclosable Conduct are identified, they should be raised as soon as possible. We are committed to providing those involved with our Organisation a safe environment to raise alleged Disclosable Conduct in an appropriate manner. In cases where people feel they need to be protected in relation to making a Disclosure, this Procedure outlines the protections that will apply.

2. **Purpose**

The purpose of this Procedure is to:

- Provide you with an understanding of what can be reported under this Procedure;
- Demonstrate the importance RBG places on ensuring a safe and supportive environment where you feel confident to make Disclosures;
- Assist to create a culture within RBG that encourages our people to speak up and make Disclosures;
- Explain the processes for reporting alleged Disclosable Conduct, including what happens when you make a Disclosure; and
- Outline how you will be protected if you make a Disclosure.

3. **Scope**

3.1. **The scope of this Procedure - People**

The following people can make a Disclosure (each a “Discloser”):

1. An officer or former officer of the Organisation;
2. An employee or former employee of the Organisation;
3. A shareholder or former shareholder of the Organisation; or
4. A person who is (or was) a Supplier to, or has (or had) a transaction with, the Organisation;
5. A person who is (or was) a Supplier to, or has (or had) a transaction with, an officer or employee of the Organisation;
6. An employee (or former employee) of a Supplier or person who had a transaction contemplated by section 3.1(4.) or section 3.1(5.);
7. An Associate of the Organisation;
8. A spouse or relative of a Discloser in one of the above categories; or
9. A lawyer on behalf of a Discloser in one of the above categories.
3.2. The scope of this Procedure – Conduct
The scope of this Procedure relates to conduct which is Disclosable Conduct. Disclosable conduct is defined in Appendix A – Glossary or Terms.

3.3. Out of Scope – Grievances
From time to time you may have a Grievance in relation to service levels, Procedure decisions, or an employment-related grievance with another person within the Organisation, which is not Disclosable Conduct and therefore not covered by this procedure.

If you have a Grievance about a service issue or Procedure decision or you wish to raise another grievance issue, refer to the relevant RBG Grievance Procedure or speak to your supervisor or Human Resources’ contact person.

3.4. Out of Scope - Malicious Allegations
Disclosers who raise a concern in good faith, which is shown to be unsubstantiated by subsequent investigation, will not have action taken against them by the Organisation. However, a Discloser who makes an allegation maliciously, in bad faith or knowing it to be untrue or unfounded, may be subject to disciplinary or legal action.

4. Who, why and when to make a Disclosure?

4.1. Who can make a Disclosure
Parties who can make a Disclosure are outlined in Part 3.1 of this Procedure.

4.2. Why make a Disclosure
As outlined in Part 3.1 of this Procedure, all potential Disclosers are an essential part of reporting matters to the Organisation. It is not acceptable to ‘walk past’ or ‘turn a blind eye’ to Disclosable Conduct.

Every person in the Organisation has a role and responsibility in ensuring the Organisation is run ethically and in accordance with the law and its internal rules and policies.

In instances where a person has concerns about making a Disclosure, Disclosures can be made anonymously.

4.3. When to make a Disclosure
If you become aware of potential Disclosable Conduct you should raise it as soon as practical with the people responsible for handling Disclosures; outlined below. Raising your Matter early allows it to be addressed in the right way by an appropriate person.

You should not attempt to conduct any investigation yourself before making your Disclosure as this could interfere with any future actions or, in rare cases, could put your safety at risk.

If you have fears for your wellbeing, safety, or fear of reprisal as a result of making your Disclosure, you should mention this at the time you report the Matter. You will be noted by the Organisation as a Discloser, and, to the extent applicable, afforded the protections outlined under this Procedure, and where eligible legislative disclosure requirements are met, protected under the provisions of the relevant Whistleblower Law.
5. **Who should I make my Disclosure to?**

5.1 **Within RBG**

In the first instance, and unless you have a reasonable belief that a Conflict of Interest exists, you should report your Matter to RBG in accordance with this Procedure. In order to qualify for protection under the Whistleblower Law, you must make your Disclosure to the Whistleblower Committee (the WBC) as described in this Procedure. The WBC consists of senior employees of the Organisation who are responsible for receiving Disclosures and overseeing their investigation and resolution.

The WBC must (after reasonable assessment of your Disclosure):

1. Appoint a Whistleblower Protection Officer (the WPO) to provide support to the Discloser;
2. Be satisfied that action taken in response to the inquiry/investigation is appropriate to the circumstances;
3. Ensure that all investigations are carried out in line with the principle of procedural fairness.

5.2. **Within the Surbana Jurong Group**

Where you have a reasonable belief that a Conflict of Interest exists within the WBC that will jeopardise the investigation of your Disclosure, or where you feel making your Disclosure will result in retaliation by RBG, you may make your Disclosure in accordance with the Surbana Jurong Group whistleblowing process.

5.3. **External Agencies**

Although alleged Disclosable Conduct can be reported to certain External Agencies, in many cases, if the Disclosure is made promptly and effectively, the Organisation will be capable of dealing with the Disclosure internally to reach an appropriate resolution.

If it is not practical to make your Disclosure to RBG in the first instance, you can report alleged Disclosable Conduct to the relevant External Agency To gain the Whistleblower Laws protections, you must make the Disclosure in accordance with the provisions of the relevant Whistleblower Law.

Refer to Appendix A – Glossary of Terms for definition of "External Agency".

5.3.1. **Emergency Disclosures**

Under the Australian Whistleblower Law, an ‘emergency disclosure’ may be made to a parliamentarian or journalist only where you have reasonable grounds to believe that the information disclosed concerns a substantial and imminent danger to the health or safety of one or more persons, or the natural environment. The extent of the information disclosed should be no greater than is necessary to inform the recipient of the substantial and imminent danger.

5.3.2. **Public Interest Disclosure**

Under the Australian Whistleblower Law, a ‘public interest disclosure’ may be made to a parliamentarian or journalist only if:

- The Discloser has previously made a protected Disclosure (the "First Disclosure") to the appropriate External Agency;
- At least 90 days have passed since the First Disclosure was made,
- The Discloser does not have reasonable grounds to believe that action is being or has been taken to address the matters to which the First Disclosure related;
• The Discloser has reasonable grounds to believe that making a further Disclosure to a journalist or
parliamentarian would be in the public interest;
• The Discloser has given written notification to the relevant External Agency that they intend to make a
public interest disclosure;
• The extent of the information disclosed is no greater than necessary to inform the recipient of the
misconduct or improper state of affairs to which the First Disclosure related.

6. How do I make my Disclosure?

6.1 To RBG
Disclosures must be made in writing via email to the RBG Whistleblower Email Account
(whistleblower@robertbird.com), a secured account specifically set up for this Procedure, to ensure
confidentiality for the Discloser and proper due diligence.

All emails to this account will be sent directly to the WBC.

If you wish to remain anonymous, it is recommended that you send your Disclosure from an alias email account
that does not include identifying information. Anonymous Disclosures are more difficult to act upon effectively,
but they will be reviewed, considering the seriousness and credibility of the issues raised, and the likelihood of
confirming the allegation from attributable sources of information provided.

When making a Disclosure, you should, as far as possible, include the following information:
• Date, time and place of the alleged actions/transgressions;
• Identities and particulars of all parties involved;
• Circumstances leading to the alleged Disclosable Conduct; and
• Any other relevant information or documentation that would assist in the evaluation of the Disclosure.

All Disclosures will be reviewed within a reasonable timeframe and, after due consideration and inquiry, a
decision will be taken on whether to proceed with a detailed investigation.

6.2. To the Surbana Jurong Group
Disclosures must be made in writing via email to Whistleblower@surbanajurong.com, a secured account
specifically set up, to ensure confidentiality for the Discloser and proper due diligence.

All emails to this account will be sent directly to the SJ Board Chairman and Audit & Risk Committee Chairman.

If you wish to remain anonymous, it is recommended that you send your report from an alias email account that
does not include identifying information.

When making a Disclosure, you should, as far as possible, include the following information:
• Date, time and place of the alleged actions/transgressions;
• Identities and particulars of all parties involved;
• Circumstances leading to the alleged Disclosable Conduct; and
• Any other relevant information or documentation that would assist in the evaluation of the Disclosure.

All Disclosures will be reviewed within a reasonable timeframe and, after due consideration and inquiry, a
decision will be taken on whether to proceed with a detailed investigation. Disclosures will be investigated in
accordance with the SJ Group Whistleblowing Procedure and Whistleblowing Reporting and Investigation Procedure.

6.3. **To External Agencies**

Refer to the relevant External Agencies’ website for further information.

7. **What happens when you report Disclosable Conduct to the WBC?**

Any information you provide to the WBC may be used by the Organisation in assessment of an investigation or other appropriate action. Examples of actions could include:

- A satisfactory explanation can be provided in relation to the Matter;
- The Matter is resolved by speaking to one or more parties;
- The Matter is recorded and monitored going forward;
- A decision is made to investigate (internally or via independent, external investigators);
- The matter is referred to Surbana Jurong;
- The matter is referred to a relevant External Agency; or
- A combination of the above.

Where practicable, and where you have not chosen to report anonymously, you will be contacted and advised of what action will be undertaken.

When a Disclosure is received, the WBC will conduct some fact finding to assess whether the Disclosure warrants an investigation after considering the following factors:

- the credibility of the alleged Disclosable Conduct;
- the legal implication of the alleged Disclosable Conduct, if any; and
- whether the alleged Disclosable Conduct amounts to a criminal offence.

Should there be insufficient information to warrant an investigation, the WBC will close the Matter and document the decision made. Should there be enough information to warrant an investigation, the WBC shall appoint an Investigation Team.

The WBC will inform the CEO of each Disclosure except where a Conflict of Interest arises in relation to the Disclosure. In which case, the RBG Board Chairman will be informed of the Disclosure in lieu of the CEO.

7.1 **Formation of Investigation Team**

The formation of the Investigation Team is at the sole discretion of the WBC.

The Investigation Team will comprise of a representative of the RBG Legal Team (to address concerns of legal implications) and at least three members from:

- Group Compliance;
- Human Resources;
- Division Leader (or delegate);
- A specialist (either internal or external to the Organisation);
- Any other capable person.

The WBC will appoint an Investigation Team Leader who will be responsible for coordination and reporting of the investigation.

Any member of the Investigation Team who has Conflict of Interest with the investigation of the Disclosure must declare so to the WBC and will be excluded from the Investigation Team.

Any employee who has committed or is alleged to have committed any Disclosable Conduct will be excluded from the Investigation Team and proceedings in connection with the investigation and hearings of the alleged Disclosable Conduct.

All investigations will be conducted in a manner that is procedurally fair, confidential, conducted without bias and in a timely manner. This includes allowing all parties to provide information and responses to allegations. All activities relating to the investigation must be kept strictly confidential and shall not be communicated outside of the Investigation Team without the permission of the WBC.

7.2. Preliminary Assessment

Once the Investigation Team is convened, it shall have free and unrestricted access to the Organisation’s records, staff and premises.

The Investigation Team will assess the best course of action to be taken by reviewing information and conducting interviews.

The WBC may engage external parties to perform surveillance or background checks for certain alleged Disclosable Conduct.

As soon as practicable after the Investigation Team is convened, the Investigation Team shall make an assessment about whether there are reasonable grounds to suspect that the Disclosure amounts to Disclosable Conduct and/or an offence and if so, whether the Organisation has a duty to make a report to any External Agency ("Preliminary Assessment").

Where the Disclosure involves an offence that is reportable to any External Agency, RBG Legal must be consulted. Prior to lodging the report, the Investigation Team shall keep the WBC and Chief Executive Officer informed.

The Group Communications and Branding Team shall, always, be the party that coordinates interactions with the media, including press releases, media conferences, media interviews and replies to the media with the WBC and Chief Executive Officer’s prior consent.

7.3. Outcome of Investigation

The Investigation Team shall report its findings and Preliminary Assessment to the WBC with a recommendation for actions to be taken. The WBC will direct further actions (if any) and will decide how to finalise the Disclosure.

A summary of such investigation, its findings and recommendations will be provided to the WBC upon completion of all actions.

At the end of an investigation, you may be informed of the outcome of the investigation by the WBC (subject to anonymity and confidentiality requirements). The Organisation may in certain circumstances, whether required by law or in its discretion, inform the relevant External Agency of any contents of the investigation. The decision of the WBC is final and subject to review in its sole discretion.
7.4. Reporting Requirements

The WBC will maintain a record of Disclosures, the actions taken and the outcomes (the "Register"). An anonymised version of the Register will be reported to the Group Risk and Compliance Committee, RBG Board and the relevant Surbana Jurong Committees and Boards on a quarterly basis.

The Head of Compliance will conduct an annual review on the trend of fraud and malpractices and report the findings to the Group Risk and Compliance Committee.

8. How you are protected?

8.1 Anonymity

Anonymous reports of wrongdoing are accepted under this Procedure. Anonymous reports may have significant limitations that inhibit a proper and appropriate inquiry or investigation. These limitations may include the inability to provide feedback on the outcome and/or to gather additional particulars to assist the inquiry/investigation.

8.2. Confidentiality

If you report Disclosable Conduct to the Organisation under this Procedure, the Organisation will take reasonable steps to ensure that your details, and the information you provide, is treated in a confidential manner. When investigating your Disclosure, we will take reasonable steps to ensure that information likely to lead to your identification is not disclosed without your consent. However, it may be difficult to investigate or address the alleged Disclosable Conduct unless you provide us with approval to use your information.

The Organisation will only share your details on a need to know basis with those within the Organisation who have a role to play in investigating your Disclosure. Wherever possible, your personal information will be dealt with in an anonymised way (for example, your Disclosure may be identified by a number or code rather than by your name). However, there may be certain times where this is not practical or possible, including, but not limited to:

- Where the information is required for the Organisation’s internal reporting, auditing or compliance functions;
- Where the Organisation is under a legal obligation to disclose information provided;
- Where the information is already in the public domain;
- Where the information is given on a strictly confidential basis to legal or auditing professionals for the purpose of obtaining professional advice; and
- Where the information is given to an External Agency.

If the Organisation needs to disclose your identity for reasons other than those listed above, we will request your consent first unless we are prohibited or practically restricted from doing so by law.

The Organisation will ensure that those support services that require access to the RBG Whistleblower Email Account, only access the account on the express instructions of the WBC and only to the extent necessary for the support services to carry out their work.

In addition, we require the WBC and Investigation Team to undergo regular whistleblower and confidentiality training. We also require the Investigation Team members to agree to maintain the strictest confidentiality when investigating any Disclosure.
8.3. Conflicts of Interest
Where a Conflict of Interest is identified during the reporting or investigation process the person(s) involved in the Conflict of Interest will be excluded from any reporting, investigation or discussion of the Disclosure.

8.4. Protections afforded by RBG
RBG is committed to ensuring that if you make a Disclosure under this Procedure you are provided support and protection from reprisal or personal or financial disadvantage because of making that Disclosure.

You will be protected to the extent relevant under the Whistleblower Law when you make a Disclosure, just the same as you would have been if you had raised the alleged Disclosable Conduct with an External Agency. This extended protection, is another reason making Disclosures to the WBC in the first instance is usually the quickest and most effective option.

8.5. Protection under relevant Regional Whistleblower Laws
Refer to the Addendum.

9. Failure to comply with this Procedure
Any breach of this Procedure may result in disciplinary action, including dismissal from the Organisation or other legal action.

10. Implementation of Procedure
The Head of Compliance shall be responsible for maintaining, reviewing and updating this Procedure on an annual basis.

Approval will be required from the Group Risk and Compliance Committee and Board for revisions and amendments made to this Procedure.

This Procedure is available via the RBG Intranet and Website.
## Appendix A – Glossary of Terms

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Associate</strong></td>
<td>has the meaning described in the Corporations Act 2001 (Cth).</td>
</tr>
<tr>
<td><strong>Australian Whistleblower Law</strong></td>
<td>means the Treasury Laws Amendment (Enhancing Whistleblower Protections) Act 2019 (Cth).</td>
</tr>
<tr>
<td><strong>Conflict of Interest</strong></td>
<td>means a situation in which financial or other professional or personal considerations have the potential to compromise or bias professional judgment and objectivity.</td>
</tr>
<tr>
<td><strong>Disclosable Conduct</strong></td>
<td>means, circumstances in which you have Reasonable Grounds to suspect that the information you are disclosing about the Organisation concerns:</td>
</tr>
<tr>
<td></td>
<td>• Breaches of internal rules and policies;</td>
</tr>
<tr>
<td></td>
<td>• Breaches of laws, regulations, ordinances and other pieces of legislation;</td>
</tr>
<tr>
<td></td>
<td>• Actions which represent a danger to the public or the public financial system;</td>
</tr>
<tr>
<td></td>
<td>• An improper state of affairs or circumstances; or</td>
</tr>
<tr>
<td></td>
<td>• Improprieties, but does not mean a Grievance.</td>
</tr>
<tr>
<td><strong>Discloser (may also be called “Whistleblower”)</strong></td>
<td>means a person who makes a Disclosure under this Procedure.</td>
</tr>
<tr>
<td><strong>Disclosure</strong></td>
<td>means a report, complaint, information or disclosure of, or relating to, alleged Disclosable Conduct made in writing in accordance with this Procedure.</td>
</tr>
<tr>
<td><strong>External Agency</strong></td>
<td>means:</td>
</tr>
<tr>
<td></td>
<td>• Any authority as prescribed in the relevant Whistleblower Laws; or</td>
</tr>
<tr>
<td></td>
<td>• An auditor or actuary of the Organisation, and in Australia only also means:</td>
</tr>
<tr>
<td></td>
<td>• ASIC;</td>
</tr>
<tr>
<td></td>
<td>• APRA; and</td>
</tr>
<tr>
<td></td>
<td>• A Commonwealth authority prescribed in the Australian Whistleblower Law.</td>
</tr>
<tr>
<td></td>
<td>External Agencies do not include:</td>
</tr>
<tr>
<td></td>
<td>• Job boards, recruiters or recruitment sites;</td>
</tr>
<tr>
<td></td>
<td>• Social media sites;</td>
</tr>
<tr>
<td></td>
<td>• Media outlets (including TV, newspapers, journals, online forums).</td>
</tr>
<tr>
<td><strong>Grievance</strong></td>
<td>means a complaint, issue or grievance relating to a matter, decision or course of action that is not Disclosable Conduct. Non-exhaustive examples include:</td>
</tr>
<tr>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>• Complaints about the level of service received from the Organisation or a particular employee or officer</td>
<td></td>
</tr>
<tr>
<td>• A difference of opinion about a Procedure adopted by the Organisation</td>
<td></td>
</tr>
<tr>
<td>• An interpersonal conflict with another employee</td>
<td></td>
</tr>
<tr>
<td>• A decision about your employment, transfer, or promotion</td>
<td></td>
</tr>
<tr>
<td>• A decision about the terms and conditions of your employment</td>
<td></td>
</tr>
<tr>
<td>• A decision to suspend or terminate your employment or otherwise discipline you</td>
<td></td>
</tr>
<tr>
<td>• Not being elected as a workplace representative</td>
<td></td>
</tr>
<tr>
<td>• Disagreeing with the decision of the organisation to donate to a particular cause</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Improprieties</strong></th>
<th>include but are not limited to:</th>
</tr>
</thead>
<tbody>
<tr>
<td>• any fraudulent act or forgery;</td>
<td></td>
</tr>
<tr>
<td>• bribery and corruption;</td>
<td></td>
</tr>
<tr>
<td>• misappropriation of funds or assets;</td>
<td></td>
</tr>
<tr>
<td>• profiteering as result of insider knowledge of the Organisation's activities /information;</td>
<td></td>
</tr>
<tr>
<td>• disclosing confidential or proprietary information to external parties without authorization;</td>
<td></td>
</tr>
<tr>
<td>• destruction / removal / inappropriate use of the Organisation's records / assets / facilities;</td>
<td></td>
</tr>
<tr>
<td>• violation of existing legislation, rules and regulations applicable to the Organisation relating to its accounting, financial reporting, internal controls and / or policies;</td>
<td></td>
</tr>
<tr>
<td>• improper actions or omissions which are likely to endanger the Organisation's employees, customers and / or members of the public; and</td>
<td></td>
</tr>
<tr>
<td>• harassment.</td>
<td></td>
</tr>
</tbody>
</table>

| **Matter** | means your Disclosure. |
| **Organisation** | means RBG, including its branches, officers, directors, employees and shareholders. |
| **This Procedure** | means this Whistleblower Procedure. |
| **Reasonable Grounds** | means that a reasonable person in your position would also suspect that the information indicates misconduct or a breach of law. |
| RBG | means, as the context requires:  
|     | • Robert Bird Group Pty Ltd;  
|     | • Robert Bird & Partners Limited;  
|     | • Robert Bird Group Hong Kong Limited;  
|     | • Robert Bird Group (Malaysia) Sdn Bhd;  
|     | • Robert Bird Group (New Zealand) Limited;  
|     | • Robert Bird Group (USA), Inc.;  
|     | • Robert Bird Group Engineers P.C.;  
|     | • R.O. Bird & Associates Pty Ltd; and  
|     | • any other wholly owned entities of any of the above companies |
| Supplier | means a person or organisation that supplies, or has supplied, goods or services to the Organisation, whether paid or unpaid, and includes volunteers, work experience students, subconsultants, subcontractors, contractors and independent contractors, workshare partners and agencies. |
| Whistleblower Committee (WBC) | means a person or organisation that supplies, or has supplied, goods or services to the Organisation, whether paid or unpaid, and includes volunteers, work experience students, subconsultants, subcontractors, contractors and independent contractors, workshare partners and agencies. |
| Whistleblower Protection Officer (WPO) | means an employee or employees of the Organisation who are appointed by the WBC. |
| Whistleblower Law | means any law in each jurisdiction in which RBG operates that purports to deal with, or monitor, whistleblowing by individuals and which relates to Disclosable Conduct, including (but not limited to) the Australian Whistleblower Law, the Protected Disclosures Act 2000 (New Zealand), the Whistleblower Protection Act 2010 (Malaysia) and the Public Interest Disclosure Act 1998 (United Kingdom). |
Addendum - Regional Requirements
Part A - Protection under the Australian Whistleblower Law – AUSTRALIA ONLY

1. Scope of Addendum Part A

The following addendum:

- only applies to the Organisation’s Australian operations; and
- provides information in addition to the information already provided in the body of the Procedure.

To the extent of any inconsistency between this Addendum and the body of the Procedure, to the extent applicable, the provisions of this Addendum take precedence over the provisions in the body of the Procedure.

2. Protection under the Australian Whistleblower Law.

The Australian Whistleblower Law provides protection to a person who makes a ‘protected disclosure’. A protected disclosure is defined in the Australian Whistleblower Law. To qualify as a protected disclosure:

- You must have reasonable grounds to suspect that the information you are disclosing about the Organisation concerns:
  - misconduct, or
  - an improper state of affairs or circumstances.

- This information can be about the Organisation engaging in conduct that:
  - breaches the Corporations Act 2001 (Cth)
  - breaches other financial sector laws enforced by ASIC or APRA
  - breaches an offence against any other law of the Commonwealth that is punishable by imprisonment for a period of 12 months, or
  - represents a danger to the public or the public financial system.

- ‘Reasonable grounds’ means that a reasonable person in your position would also suspect the information indicates misconduct or a breach of the law.

Importantly, the Australian Whistleblower Law protects an eligible disclosure even if it is reported internally to the Organisation.

Protections from Legal Action

The Corporations Act 2001 (Cth) provides several protections against the following legal actions related to Whistleblower Disclosures:

- Criminal prosecutions (the disclosure itself also cannot be used against a Whistleblower in a prosecution unless the disclosure is false);
- Administrative action (this includes disciplinary action or termination of employment); or
- Civil Litigation (this can include claims of breach of contract, breach of contractual obligations, or duties of confidentiality).

These protections do not grant immunity to any misconduct the Whistleblower was involved in that is revealed by the Disclosure.

However, self-reporting any misconduct the Whistleblower was a part of will generally result in a consideration of the Whistleblower’s cooperation during investigations.
**Protections from detriment**

It is illegal (through a criminal offence and a civil penalty) under the Corporations Act 2001 (Cth) for someone to cause or threaten detriment to a Whistleblower (or potential Whistleblower).

The following are all examples of detriment a person could cause which would be illegal under the Corporations Act 2001 (Cth):

- dismiss you from your employment;
- injure you in your employment;
- alter your position or duties to your disadvantage;
- discriminate between you and other employees of the same employer;
- harass or intimidate you;
- harm or injure you, including causing you psychological harm;
- damage your property;
- damage your reputation;
- damage your business or financial position;
- cause you any other damage.

ASIC can also investigate allegations that a person caused or threatened detriment to a Whistleblower, but they will need the Whistleblower’s assistance during the investigation. Such investigations can result in penalties to the offending party but are unlikely to result in compensation for the Whistleblower.

<table>
<thead>
<tr>
<th>Document Number</th>
<th>Issue</th>
<th>Issue Date</th>
<th>Date Introduced</th>
<th>Authorised By</th>
</tr>
</thead>
<tbody>
<tr>
<td>OA-PR-05</td>
<td>1</td>
<td>20/12/2019</td>
<td>20/12/2019</td>
<td>Group Compliance Manager</td>
</tr>
</tbody>
</table>